PTO/SB/21 (08-00) ∤leasa type a plus sign (+) inside this box → 🗐 Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/071,838 TRANSMITTAL February 8, 2002 Filing Date RECEIVED **FORM** First Named Inventor Li, Jing 09 (to be used for all correspondence after initial filing) Group Art Unit 1645 Not yet assigned **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 018781-007610US ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form Group (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Proprietary Information Petition After Final Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) Change of Correspondence Address Extension of Time Request (please identify below): Terminal Disclaimer Return Postcard Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) w/forms PTO/SB/08A&B and references AA-AD The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm Reg. No. 44,879 Jean M. Lockyer, Ph.D. Individual nage Signature September 3, 2002 Date

## CERTIFICATE OF MAILING

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Attorney Docket No.: 018781-007610US Client Reference No.: T00-027-1US

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

LI et al.

Assistant Commissioner for Patents

Vashington, D.C.

Application No.: 10/071,838

Filed: February 8, 2002

For: PRC17: AN AMPLIFIED CANCER

**GENE** 

Examiner: Not yet assigned

Art Unit: 1645

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. The sequence listing for reference AB (952 pages) has not been enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

LI et al.

Application No.: 10/071,838

Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Jean M. Lockyer, Ph.D. Reg. No. 44,879

TOWNSEND and TOWNSEND and CREW LLP

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 2

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Substitute for form 1449A/PTO

	Complete if Known	<b>\</b>
Application Number	10/071,838	RECE:
Filing Date	February 8, 2002	TEUE
First Named Inventor	Li, Jing, et. al.	SER
Art Unit	1645	<u> </u>
Examiner Name	Not yet assigned	TECHOOL
Attorney Docket Number	018781-007610US	COTTUENTER

			U.S. PATENT D	OCUMENTS		
		Document Number				
Examiner	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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FOREIGN PATENT DOCUMENTS								
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	AA	WO	00/23589	A2,A3	04-27-2000			
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<sup>1</sup> **Applicant's** unique citation designation number (**optional**). <sup>2</sup> Kind Codes of U.S. Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Art Unit

(use as many sheets as necessary)

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**Examiner Name** Not yet assigned Attorney Docket Number 018781-007610US ZH 1600/2900

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
-	AC	Nakamura et al., "A novel transcriptional unit of the tre oncogene widely expressed in human cancer cells,"  Oncogene (Apr 1992) 7(4): 733-741			
	AD	Onno et al, "Human TRE17 Oncogene is Generated from a Family of Homologous Polymorphic Sequences by Single-Base Changes," <u>DNA and Cell Biology</u> (Mar 1993) 12(2): 107-118			
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